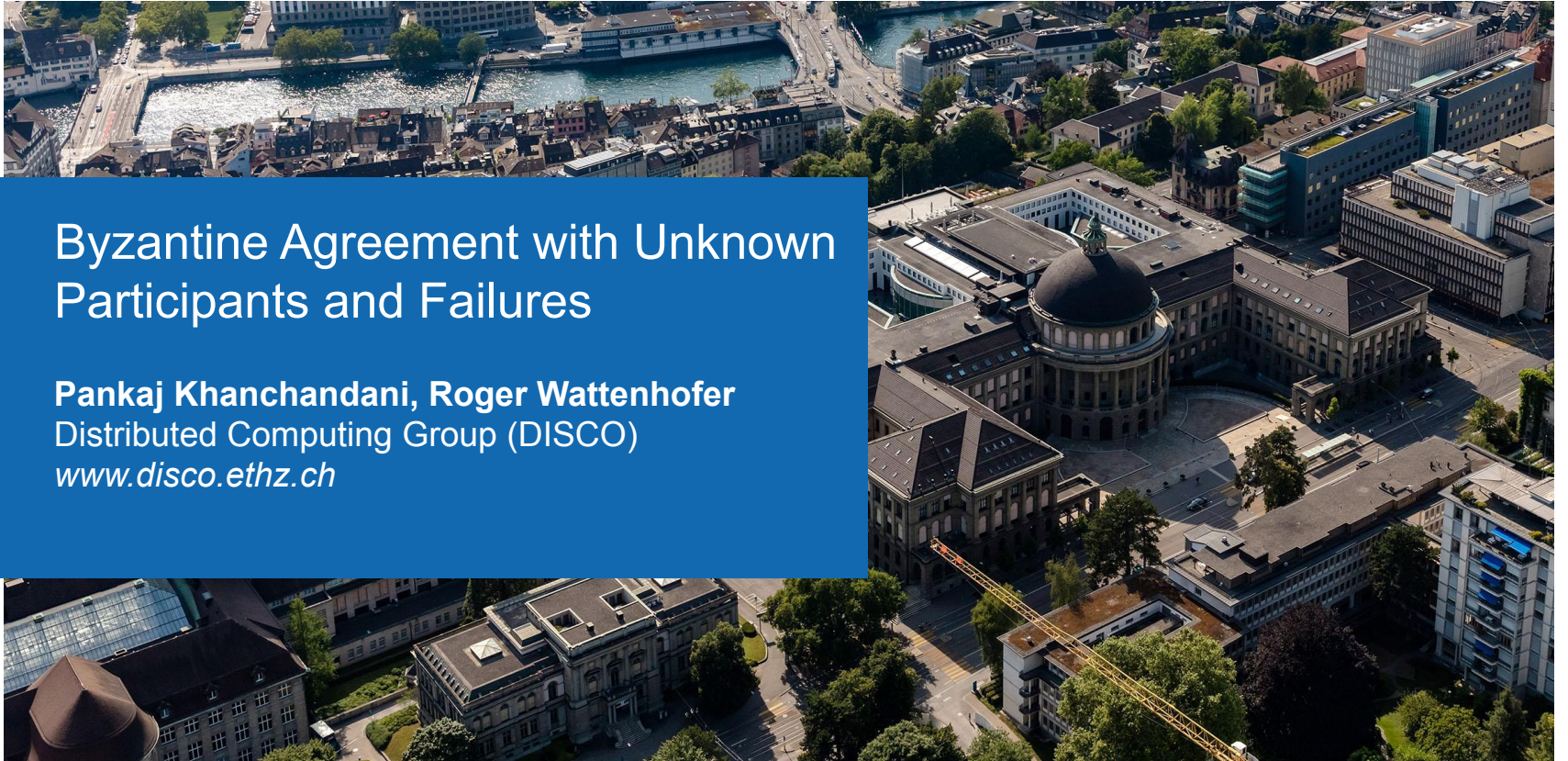


Byzantine Agreement with Unknown Participants and Failures

Pankaj Khanchandani, Roger Wattenhofer
Distributed Computing Group (DISCO)
www.disco.ethz.ch



Byzantine Agreement

Required: Agreement, Termination, Validity

Byzantine Agreement

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Assumption: Participants know n and f

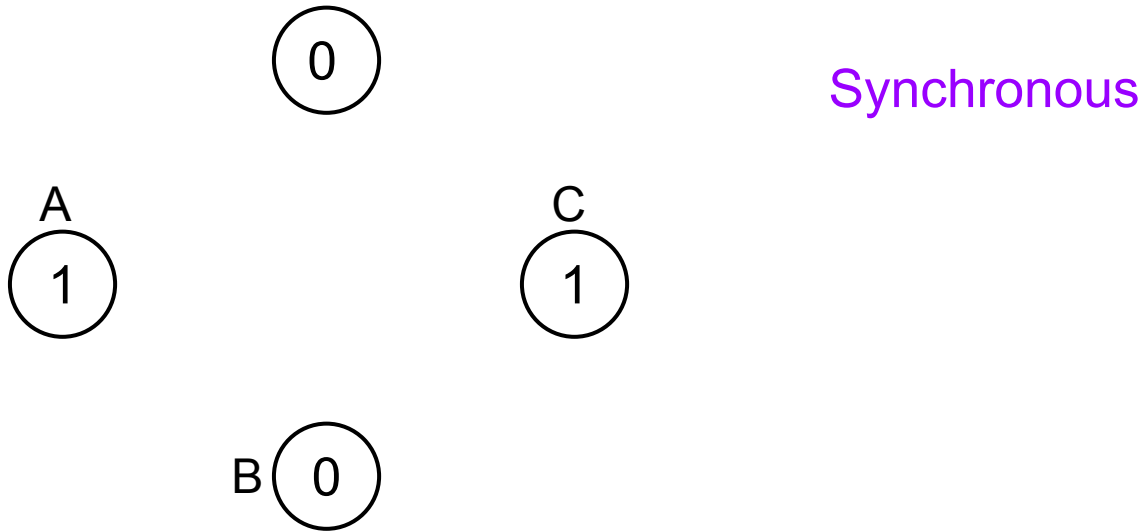
Byzantine Agreement

Required: Agreement, Termination, Validity

~~Assumption: Participants know n and f~~

BA with Unknown n & f

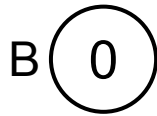
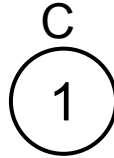
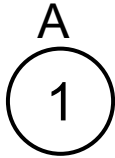
BA with Unknown n & f



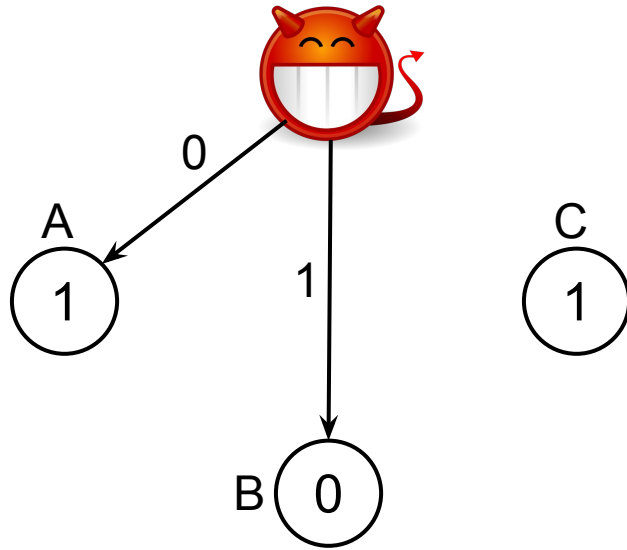
BA with Unknown n & f



Synchronous

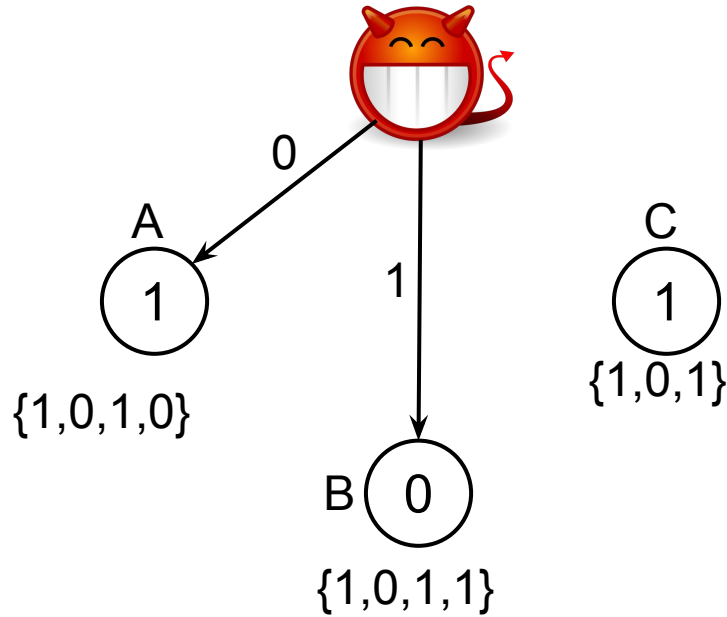


BA with Unknown n & f



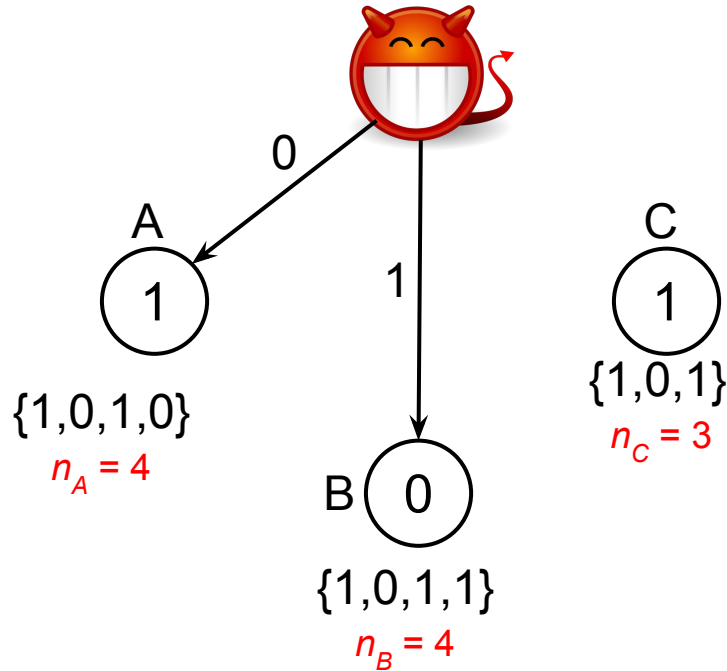
Synchronous
Broadcast

BA with Unknown n & f



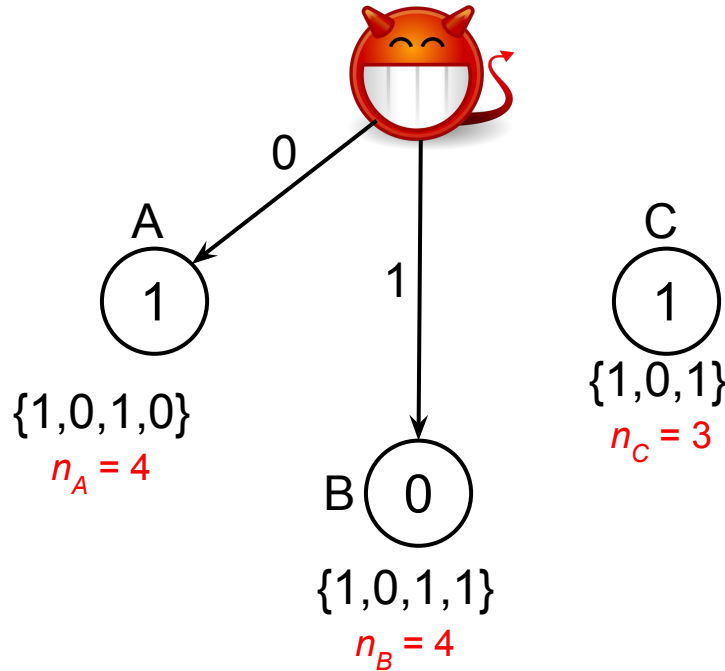
Synchronous
Broadcast

BA with Unknown n & f



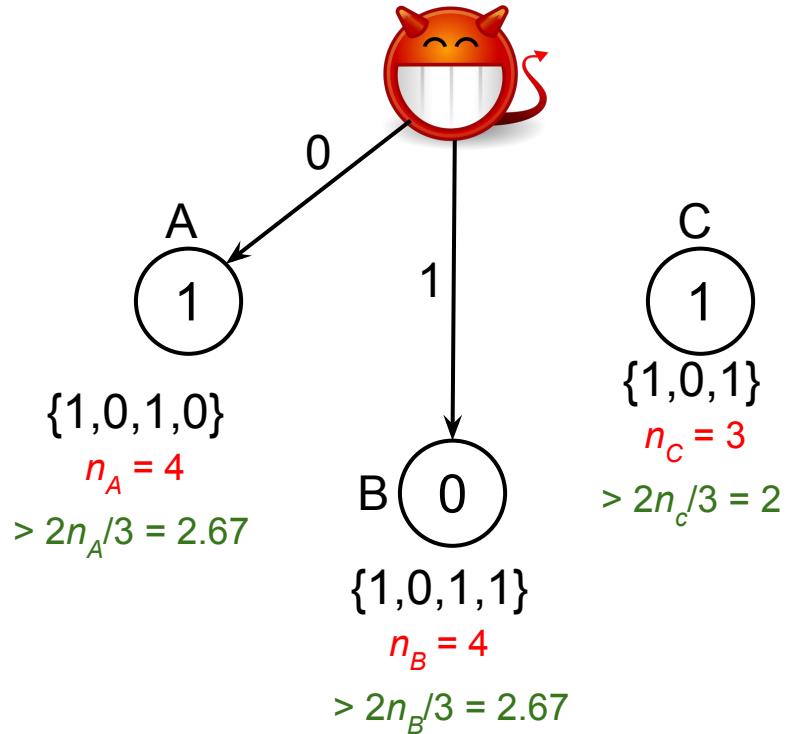
Synchronous
Broadcast

BA with Unknown n & f



Synchronous
Broadcast
Is $n > 3f$ enough?

BA with Unknown n & f



Synchronous
Broadcast
Is $n > 3f$ enough?

Equivalent Thresholds

$$n - f \Rightarrow 2n_v/3$$

$$n - 2f \Rightarrow n_v/3$$

n_v = number of nodes that v heard from

Agreement without Termination

In each round

Broadcast **m** if received $n/3$ copies of **m**.

Accept **m** if received $2n/3$ copies of **m**.

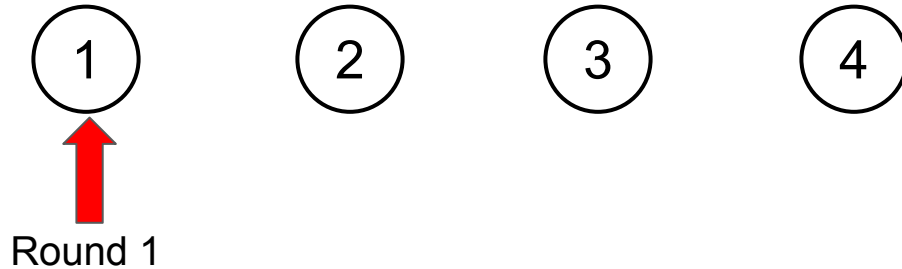
Termination when n & f are known

Electing a correct leader (king)



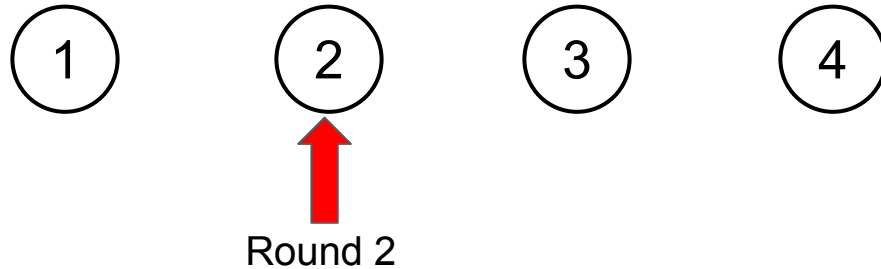
Termination when n & f are known

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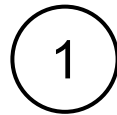
Termination when n & f are known

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Termination when n & f are known

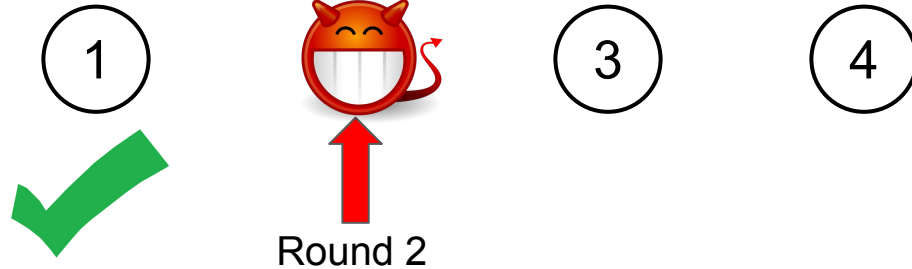
Electing a correct leader (king)



Round 2

Termination when n & f are known

Electing a correct leader (king)



Termination when n & f are **not** known

Electing a correct leader (king)



Termination when n & f are **not** known

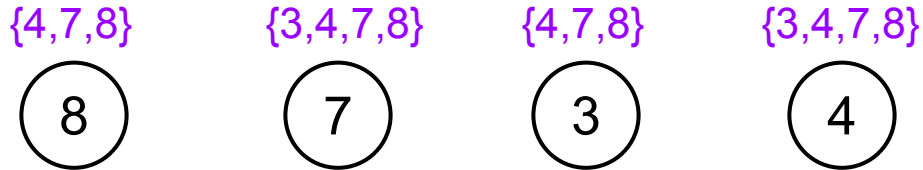
Electing a correct leader (king)



IDs not consecutive

Termination when n & f are **not** known

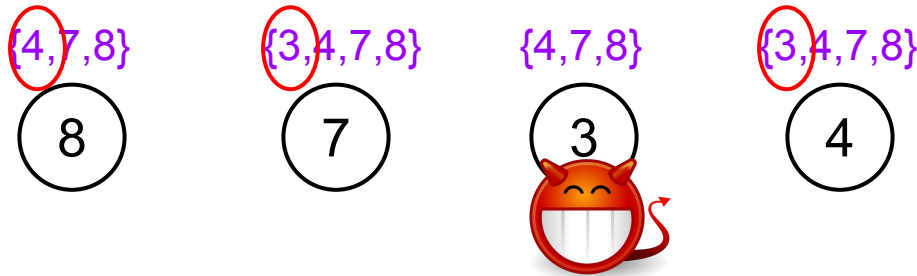
Electing a correct leader (king)



IDs not consecutive

Termination when n & f are **not** known

Electing a correct leader (king)

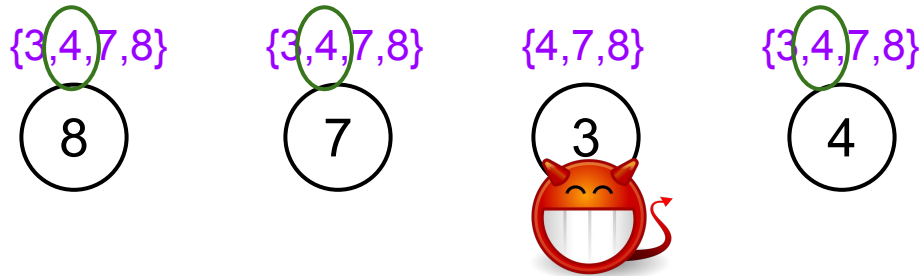


IDs not consecutive

Round 1: select **smallest** ID as leader

Termination when n & f are **not** known

Electing a correct leader (king)

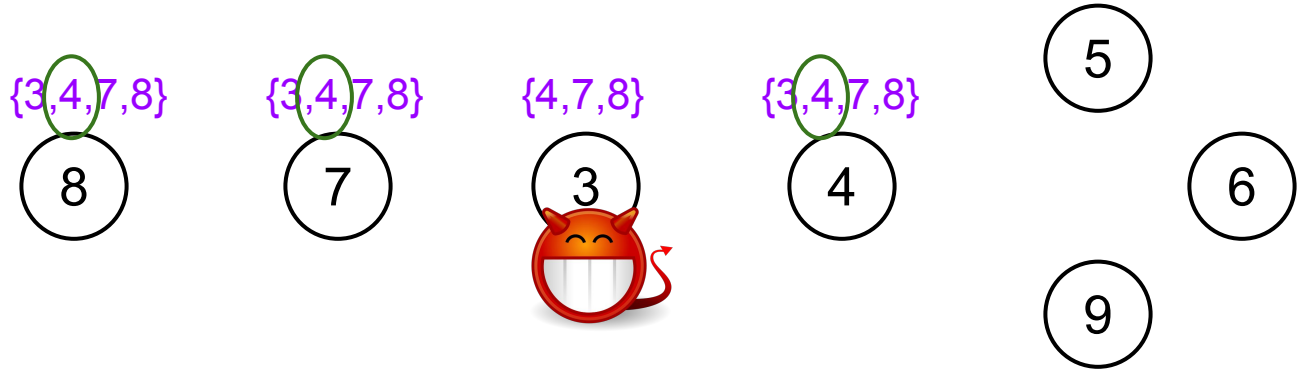


IDs not consecutive

Round 2: select **2nd smallest** ID as leader

Termination when n & f are **not** known

Electing a correct leader (king)

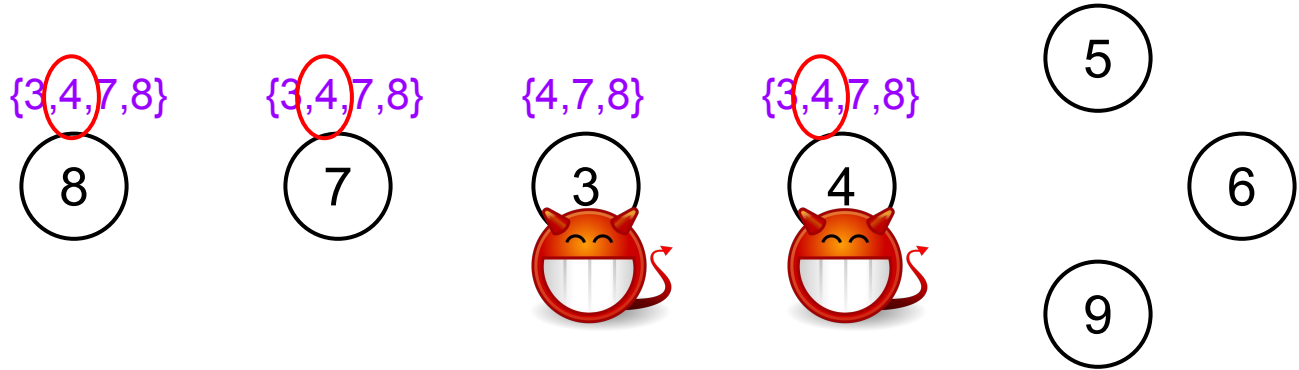


IDs not consecutive

Round 2: select **2nd smallest** ID as leader

Termination when n & f are **not** known

Electing a correct leader (king)

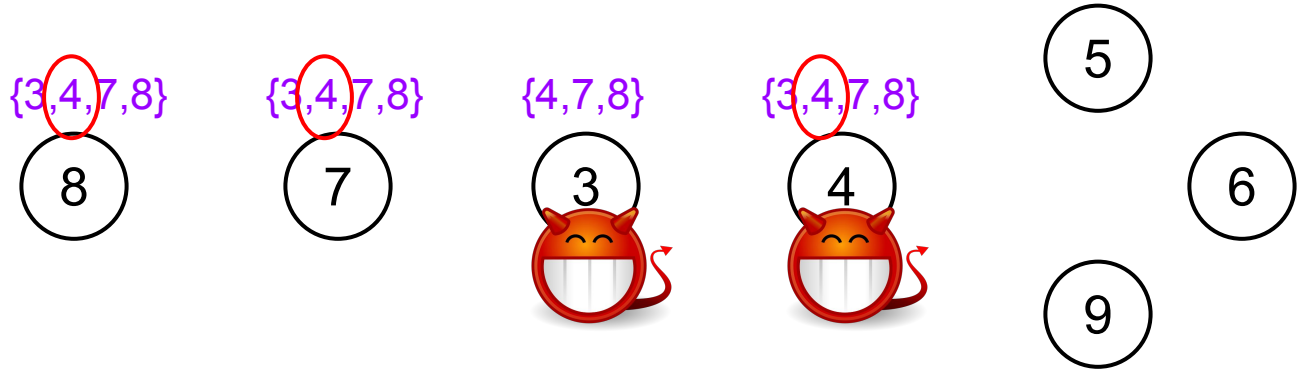


IDs not consecutive

Round 2: select **2nd smallest** ID as leader

Termination when n & f are **not** known

Electing a correct leader (king)



IDs not consecutive

Round i : select i^{th} **smallest** ID as leader

Summary

Optimal resiliency of BA $n > 3f$ even w/o knowledge of n & f

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Asynchrony makes it impossible

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Asynchrony makes it impossible
Semi-synchrony ? Dynamics ?