

# Computing the Best Policy That Survives a Vote

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**Roger Wattenhofer**

Distributed Computing Group  
**ETH** zürich



# A Board of Directors

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# A Board of Directors



**Issue 1:** Increase salaries?



# A Board of Directors



**Issue 1:** Increase salaries?

**Assume**

**Binary  
Issues**

# A Board of Directors



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**Issue 2:** Start an advertising campaign?



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**Assume  
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**Issue 3:** Hire more researchers?



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# A Board of Directors



**Issue 1:** Increase salaries?



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Issue-Wise-Majority (IWM)



**Assume  
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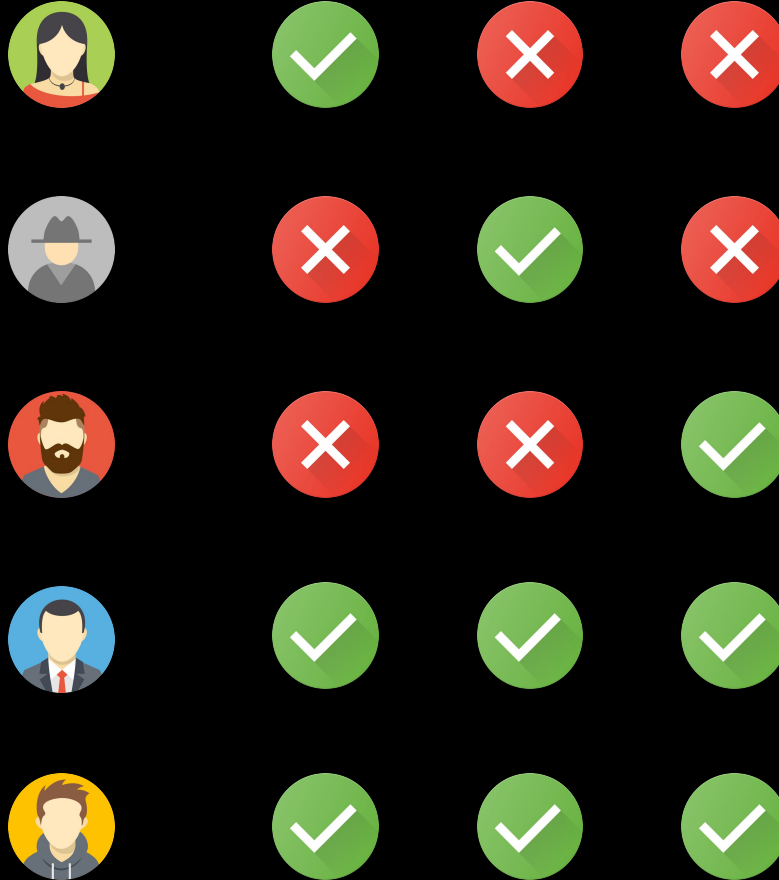
# A Board of Directors


Issue-Wise-Majority  
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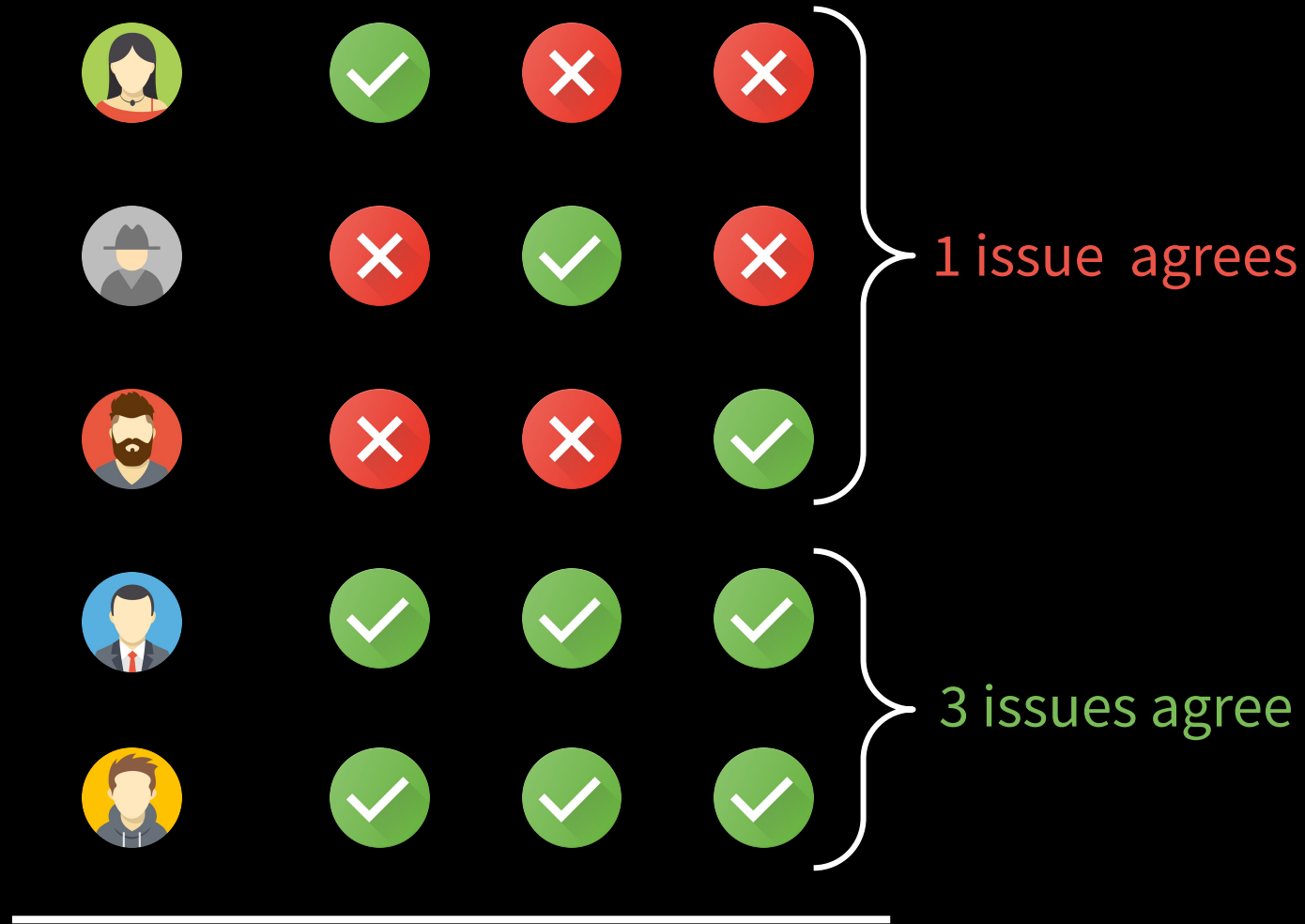
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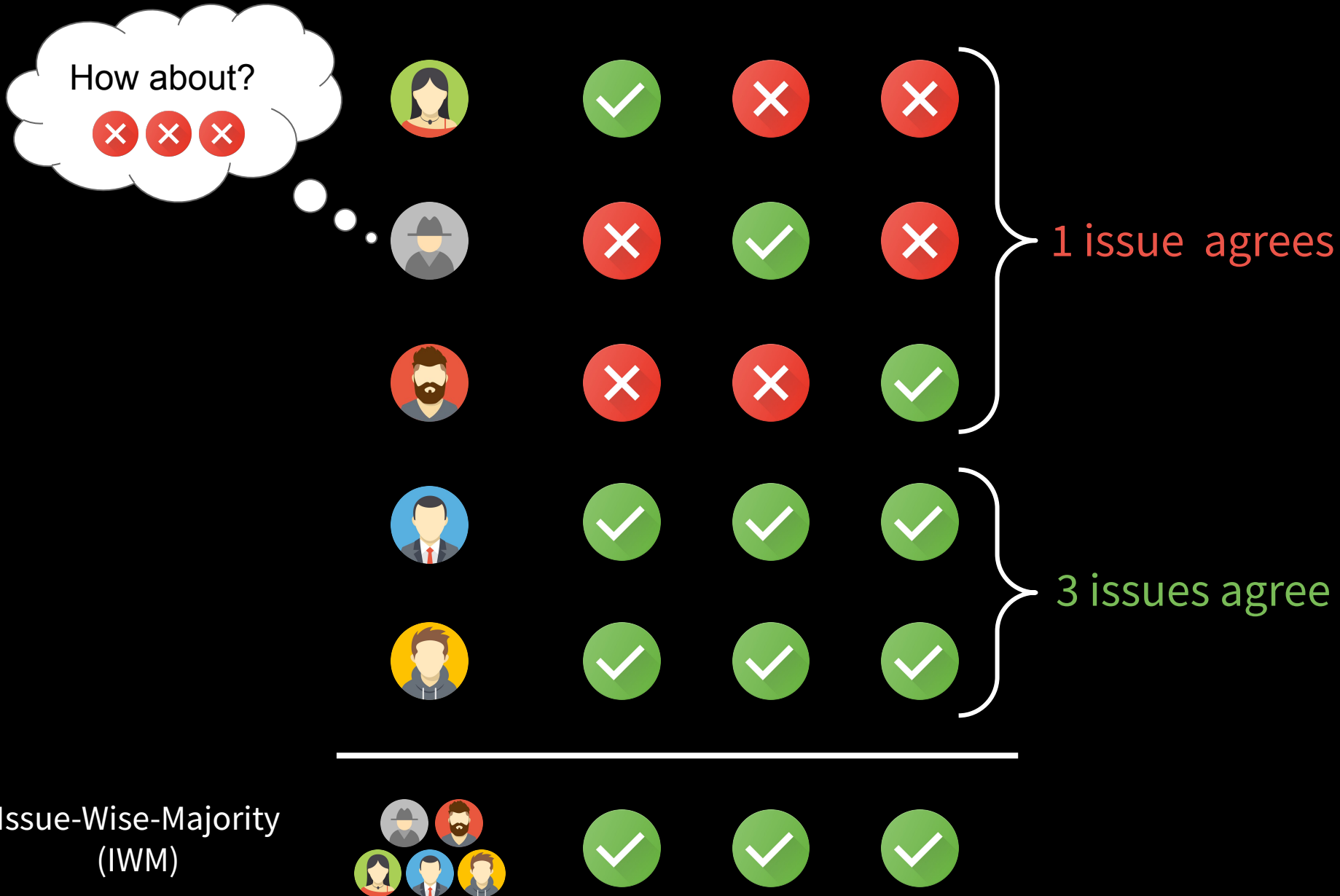
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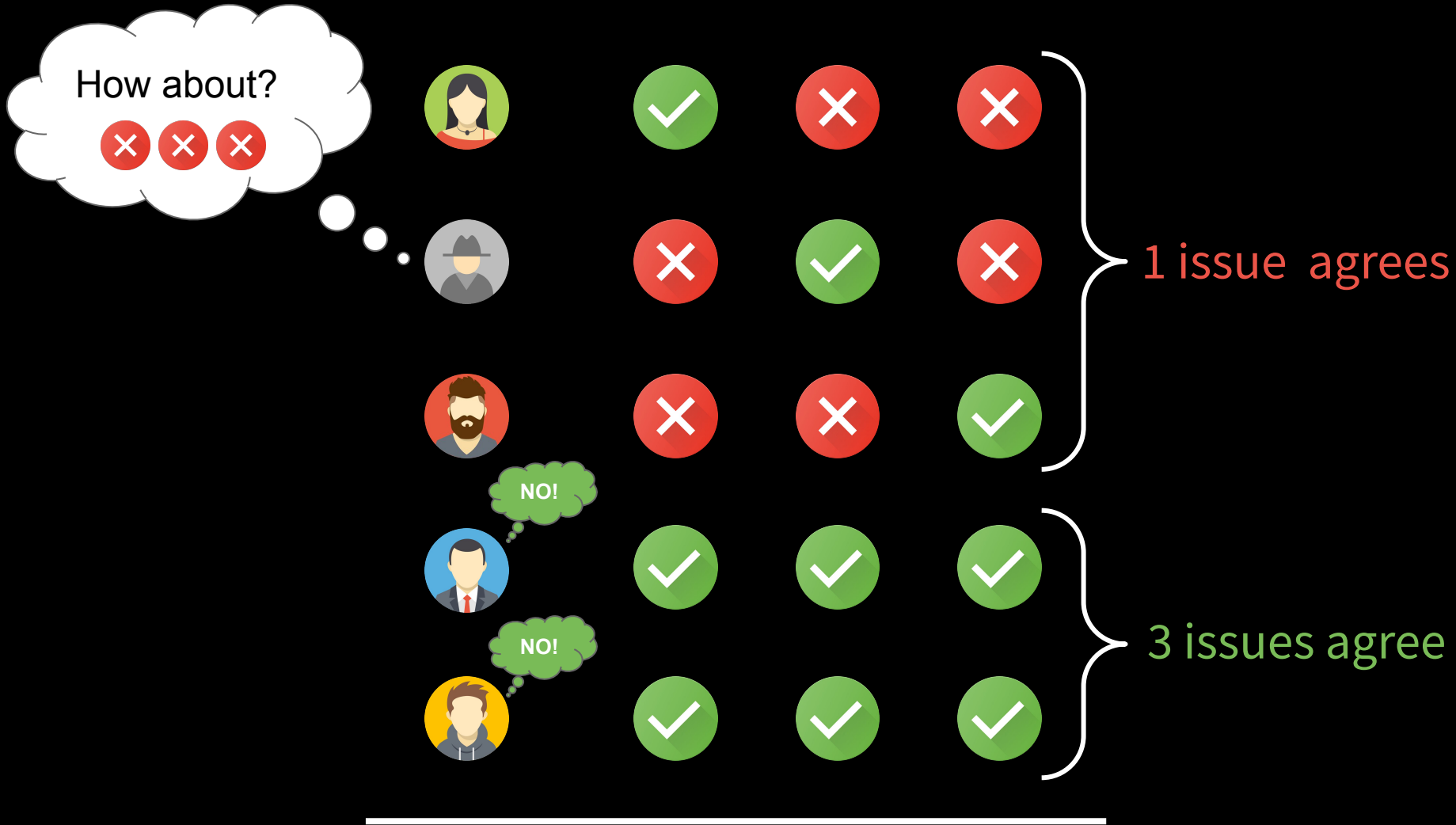
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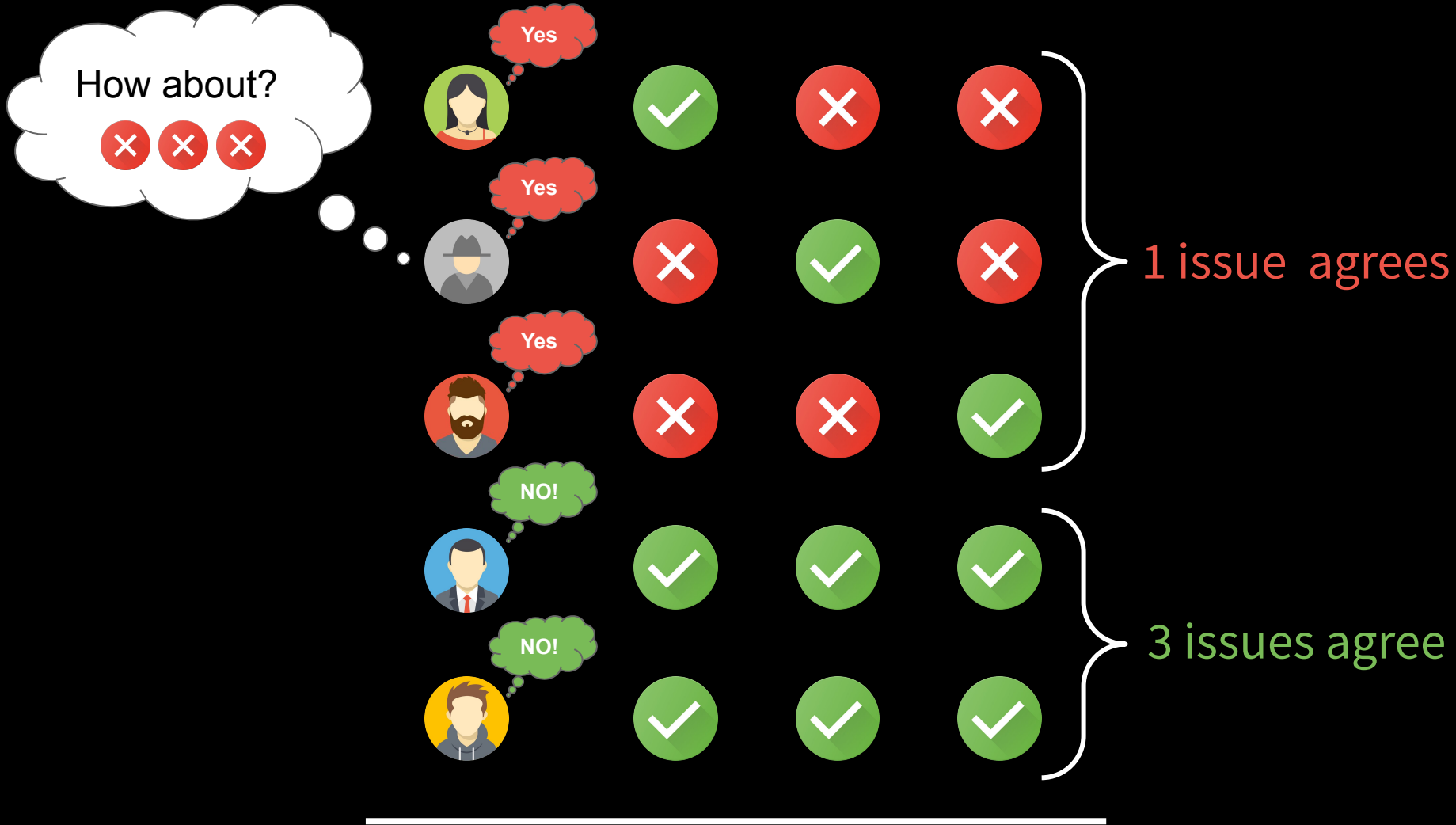
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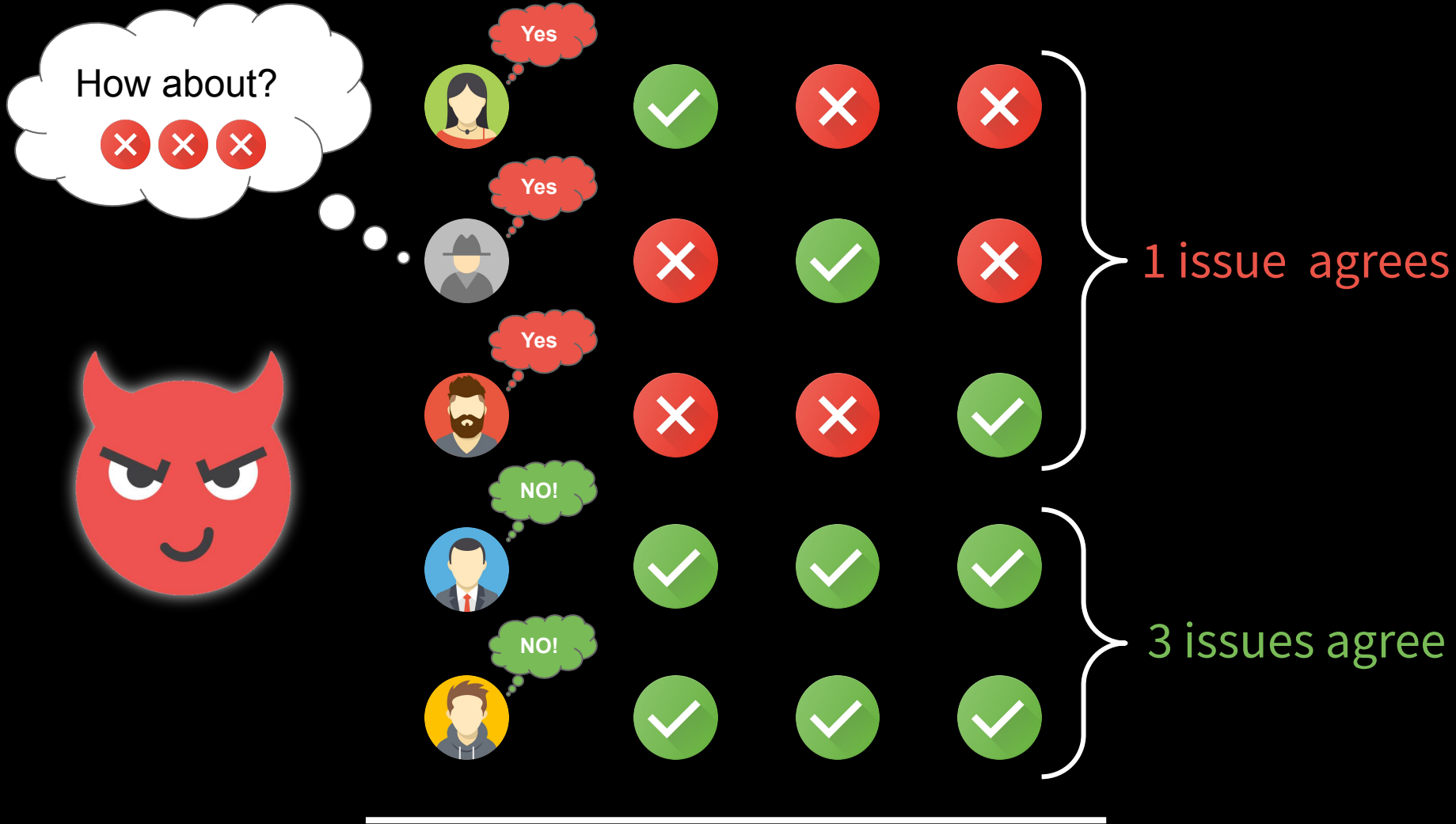


Issue-Wise-Majority  
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Issue-Wise-Majority  
(IWM)



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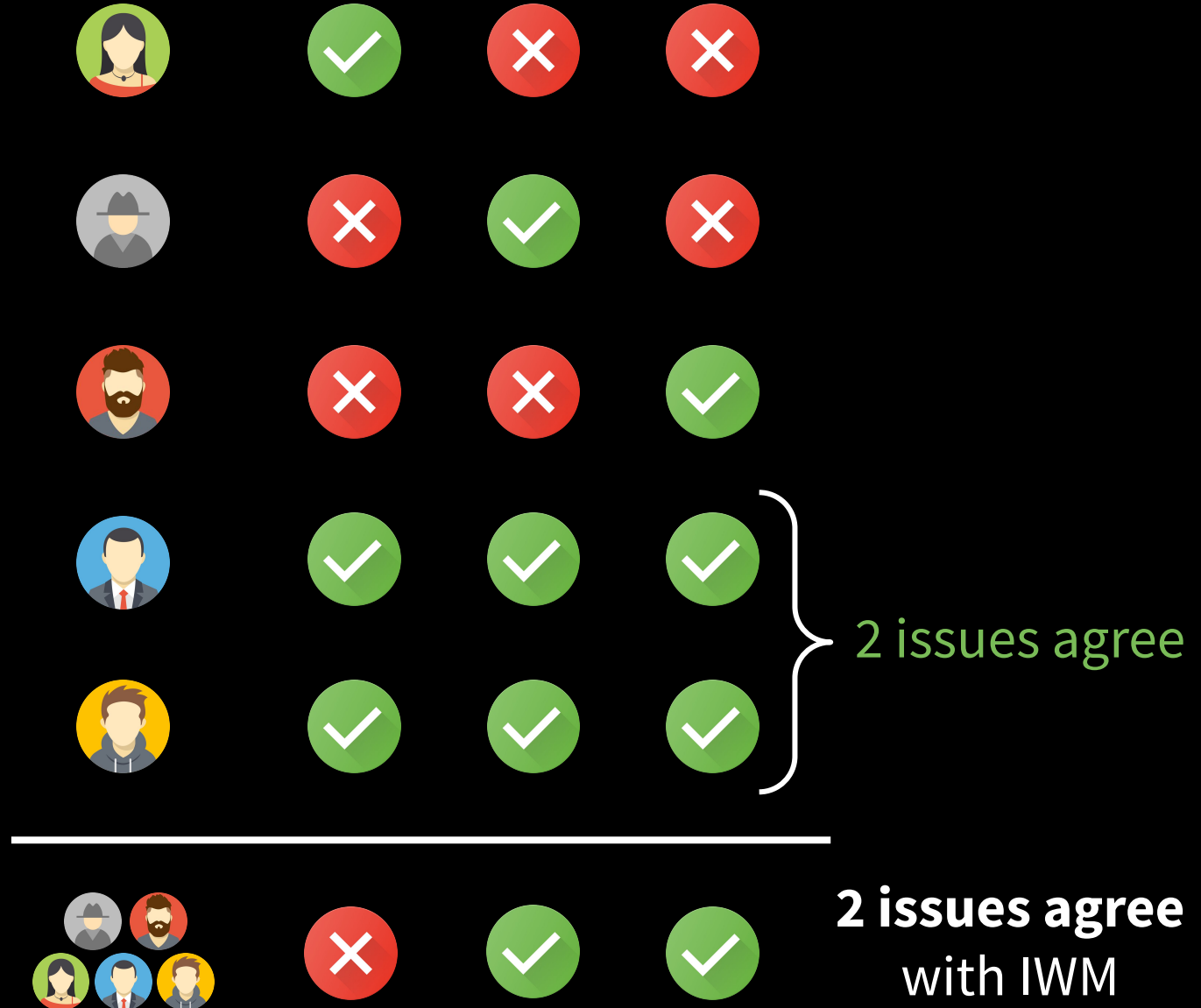
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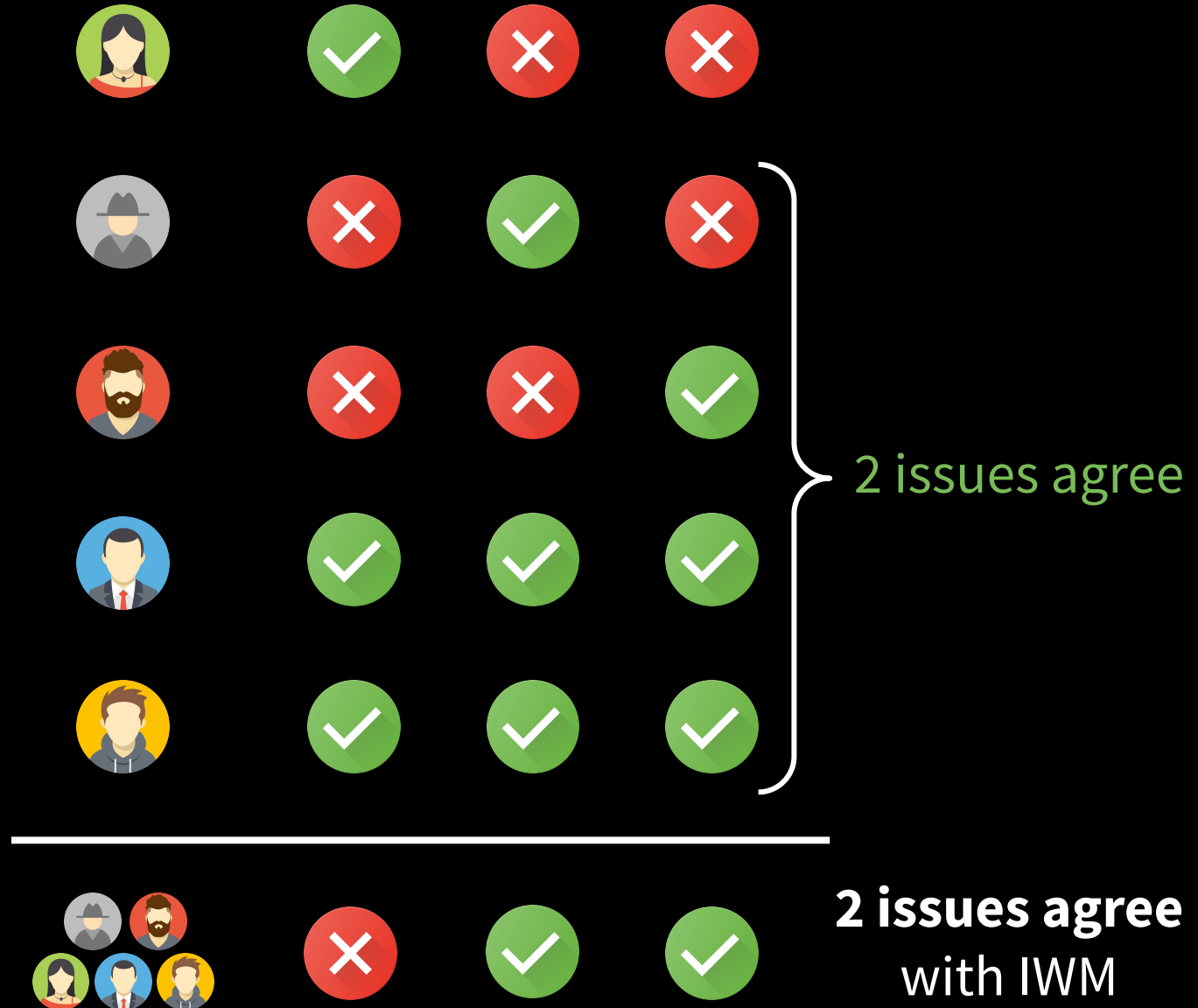


**2 issues agree  
with IWM**

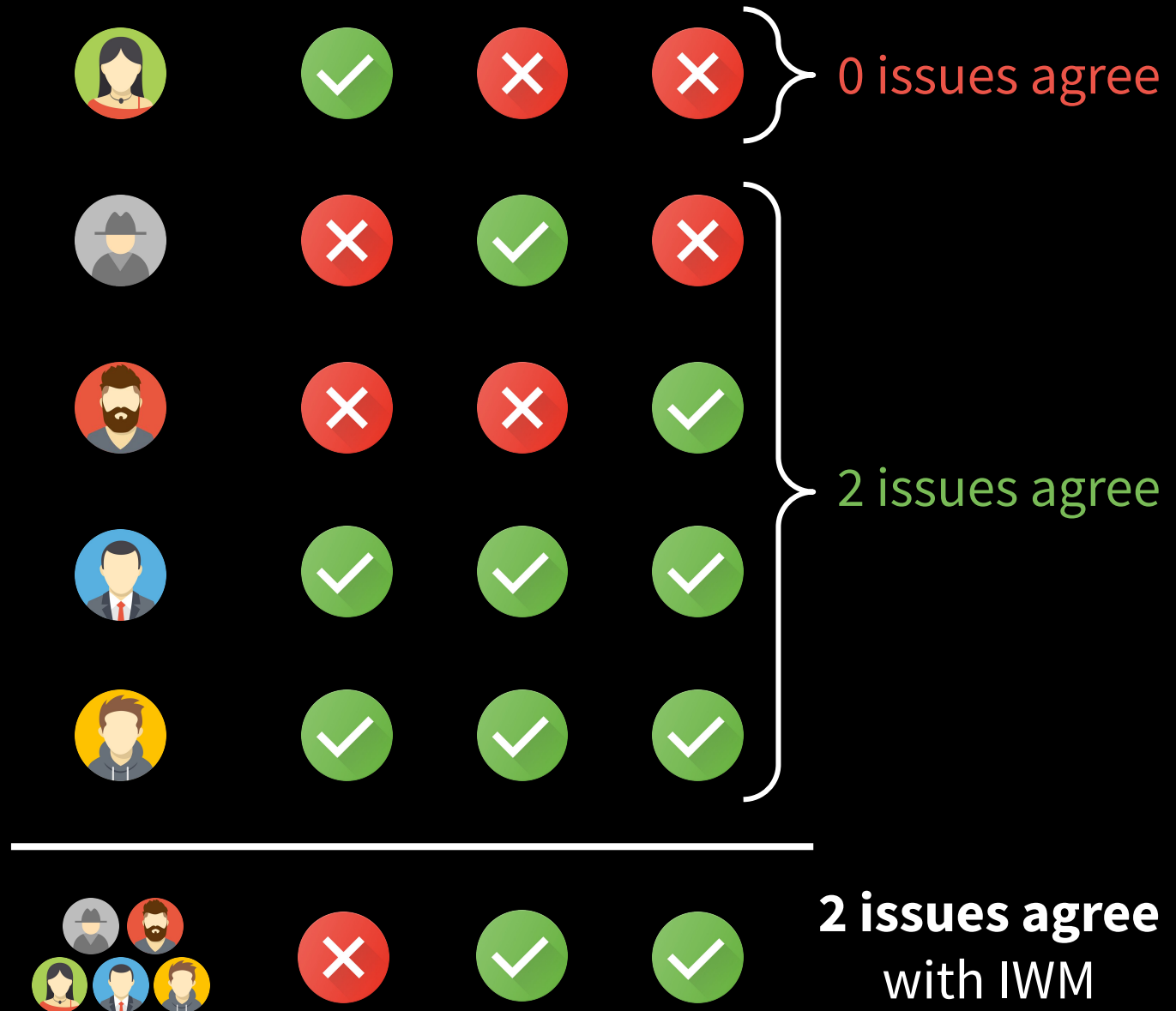
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How about?



0 issues agree



2 issues agree



2 issues agree  
with IWM



# A Board of Directors

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2 issues agree  
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# A Board of Directors

How about?



Director	Issue 1	Issue 2	Issue 3
Director 1 (Woman)	Yes (Green Check)	No (Red X)	No (Red X)
Director 2 (Man in Hat)	No (Red X)	Yes (Green Check)	No (Red X)
Director 3 (Man with Beard)	No (Red X)	No (Red X)	Yes (Green Check)
Director 4 (Man in Suit)	Yes (Green Check)	Yes (Green Check)	Yes (Green Check)
Director 5 (Man in Yellow)	Yes (Green Check)	Yes (Green Check)	Yes (Green Check)

0 issues agree

2 issues agree

---

Director	Issue 1	Issue 2	Issue 3
Director 2 (Man in Hat)	No (Red X)	Yes (Green Check)	Yes (Green Check)
Director 3 (Man with Beard)	No (Red X)	Yes (Green Check)	Yes (Green Check)
Director 1 (Woman)	Yes (Green Check)	Yes (Green Check)	Yes (Green Check)
Director 4 (Man in Suit)	Yes (Green Check)	Yes (Green Check)	Yes (Green Check)
Director 5 (Man in Yellow)	Yes (Green Check)	Yes (Green Check)	Yes (Green Check)

2 issues agree with IWM

# The Problem, Formally

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© **N** voters

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© **N** voters, **T** issues (topics, motions, laws, etc.)

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- ◎ **Problem:** Find proposal agreeing with IWM in as many bits as possible such that **> N/2** voters support it.

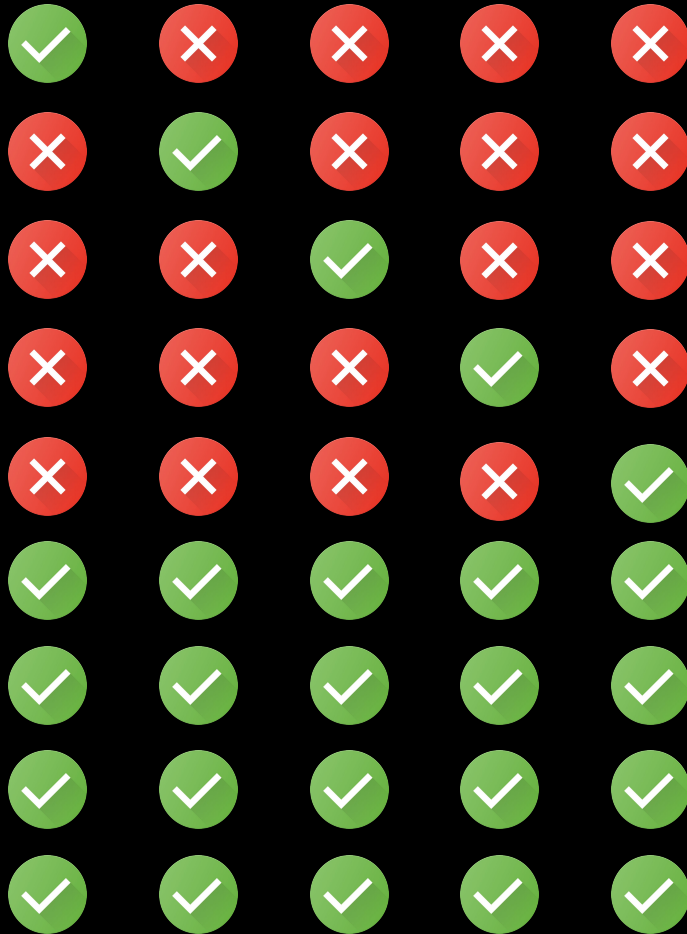


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*[Fritsch and Wattenhofer, AAMAS'22]*

# How Bad Can It Get?

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$v_1$	✓	✗	✗	✗	✗
$v_2$	✗	✓	✗	✗	✗
$v_3$	✗	✗	✓	✗	✗
$v_4$	✗	✗	✗	✓	✗
$v_5$	✗	✗	✗	✗	✓
$v_6$	✓	✓	✓	✓	✓
$v_7$	✓	✓	✓	✓	✓
$v_8$	✓	✓	✓	✓	✓
$v_9$	✓	✓	✓	✓	✓

# How Bad Can It Get?

$N = 9$

$T = 5$

$V_1$					
$V_2$					
$V_3$					
$V_4$					
$V_5$					
$V_6$					
$V_7$					
$V_8$					
$V_9$					

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T = 5

$V_1$	✓	✗	✗	✗	✗
$V_2$	✗	✓	✗	✗	✗
$V_3$	✗	✗	✓	✗	✗
$V_4$	✗	✗	✗	✓	✗
$V_5$	✗	✗	✗	✗	✓
$V_6$	✓	✓	✓	✓	✓
$V_7$	✓	✓	✓	✓	✓
$V_8$	✓	✓	✓	✓	✓
$V_9$	✓	✓	✓	✓	✓

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$T = 5$

$v_1$	✓	✗	✗	✗	✗
$v_2$	✗	✓	✗	✗	✗
$v_3$	✗	✗	✓	✗	✗
$v_4$	✗	✗	✗	✓	✗
$v_5$	✗	✗	✗	✗	✓
$v_6$	✓	✓	✓	✓	✓
$v_7$	✓	✓	✓	✓	✓
$v_8$	✓	✓	✓	✓	✓
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Prop.  $p$



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$v_4$	✗	✗	✗	✓	✗
$v_5$	✗	✗	✗	✗	✓
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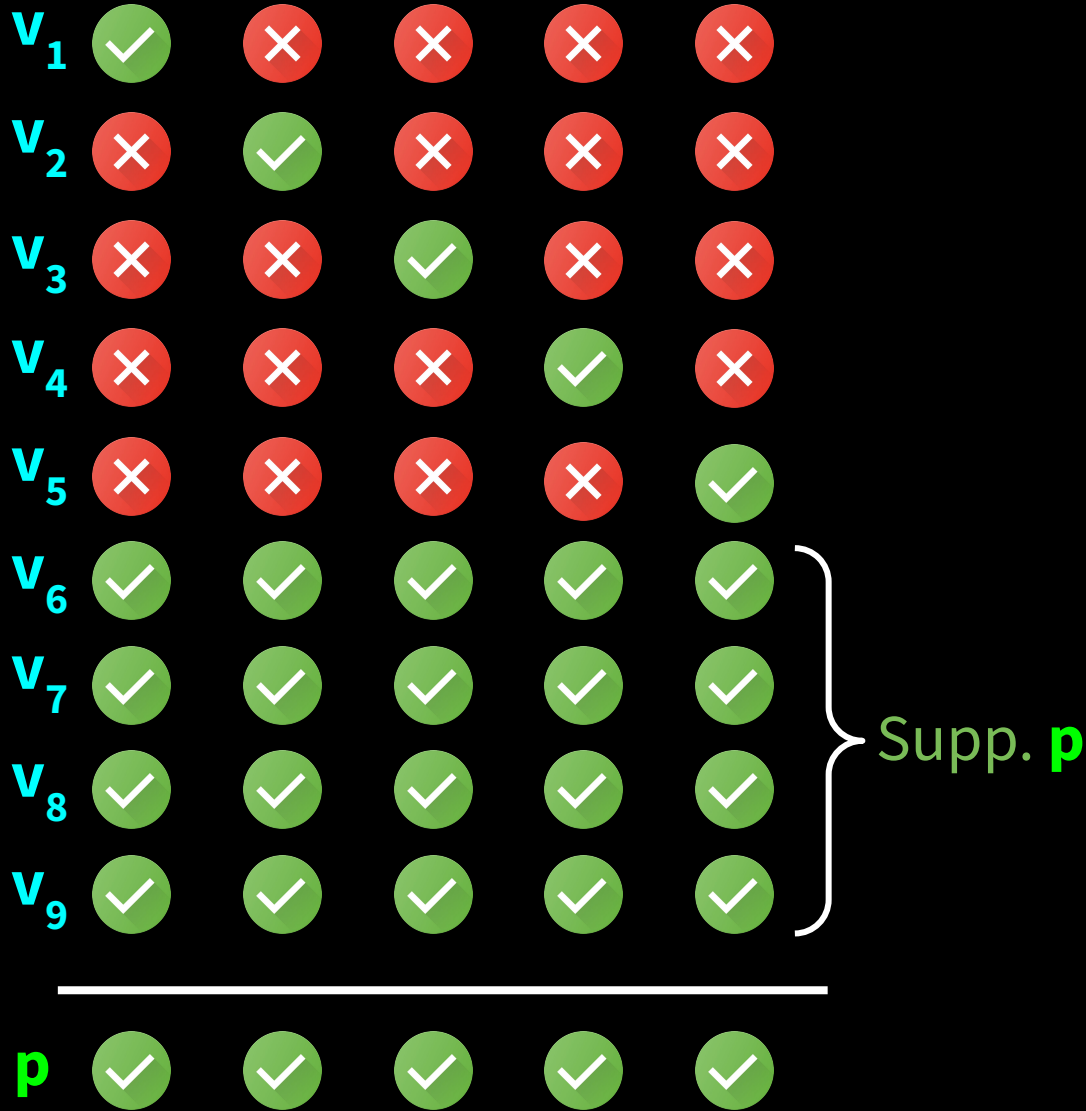
Prop.  $p$  ✓ ✓ ✓ ✓ ✓



# How Bad Can It Get?

$N = 9$

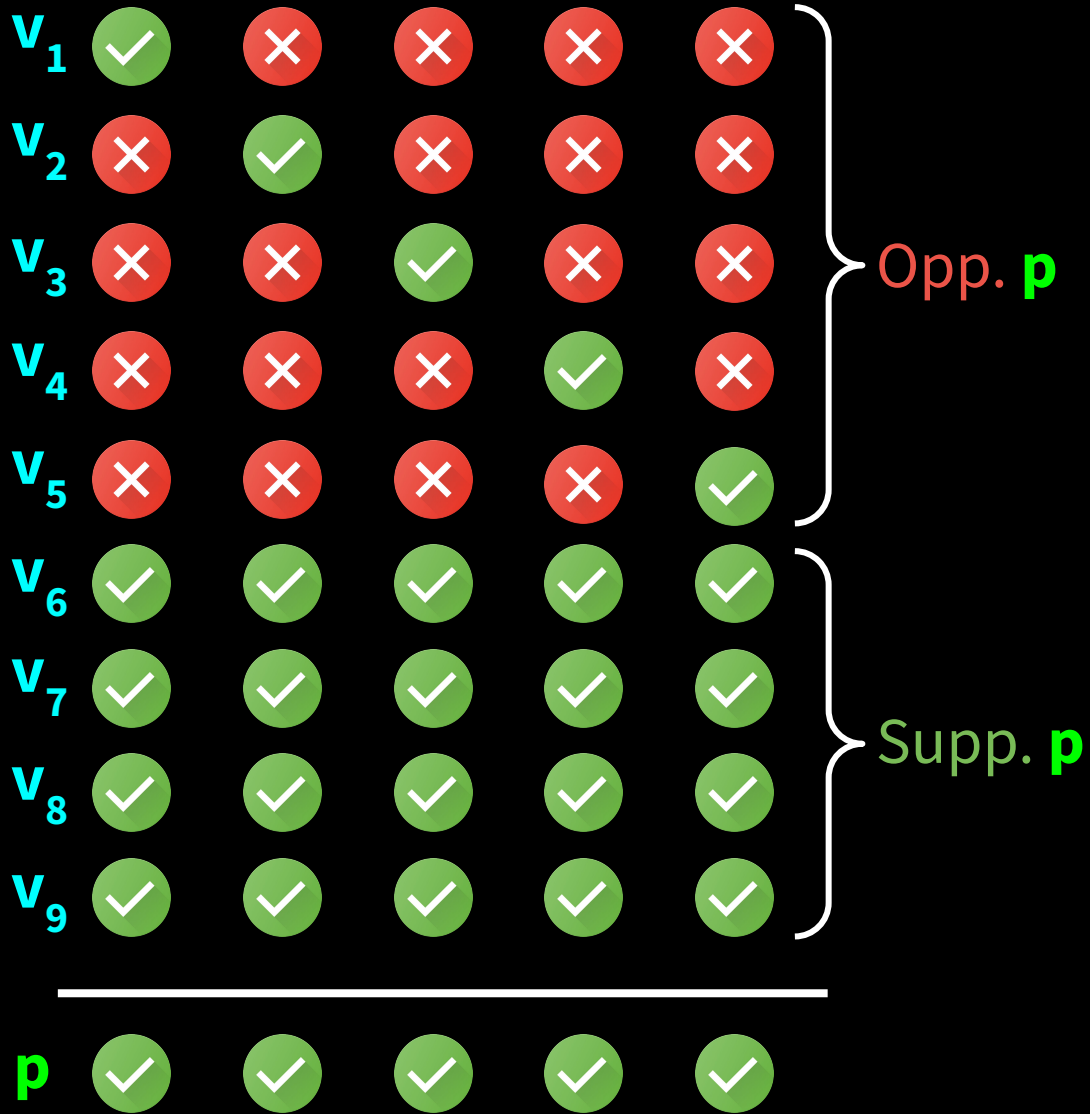
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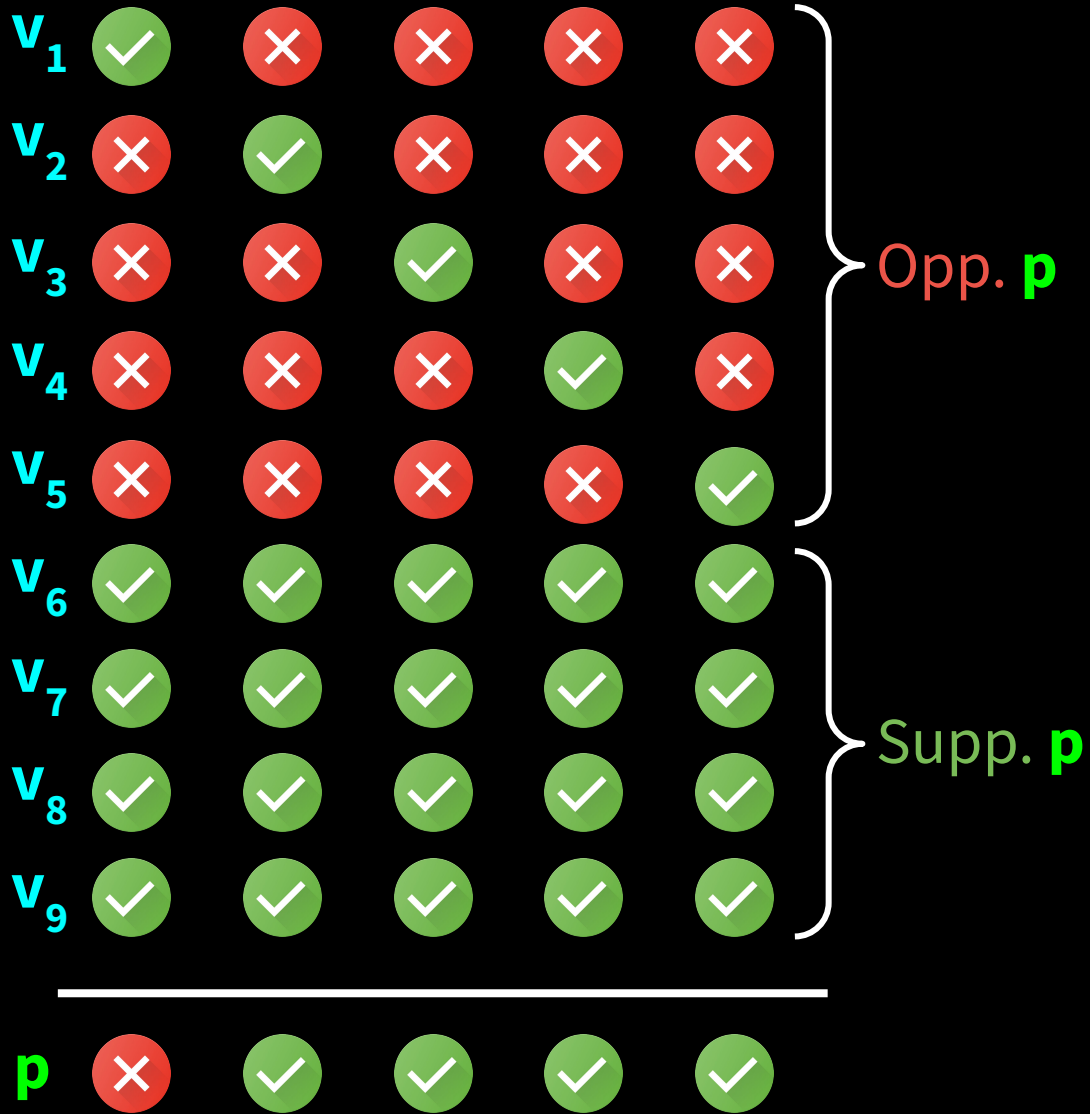
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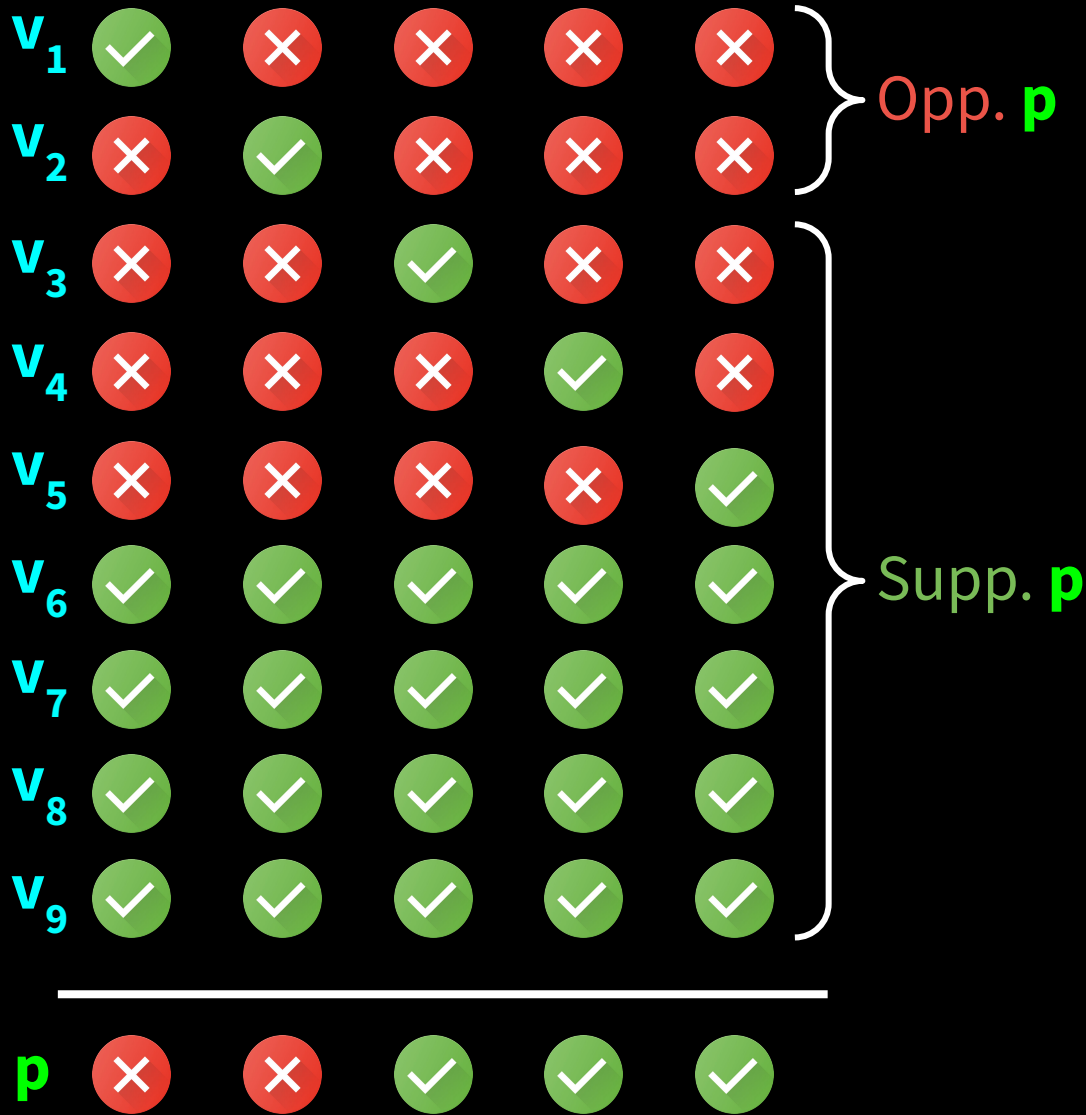
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# How Bad Can It Get?

$v_1$	✓	✗	✗	✗	✗	Opp. <b>p</b>
$v_2$	✗	✓	✗	✗	✗	
$v_3$	✗	✗	✓	✗	✗	
$v_4$	✗	✗	✗	✓	✗	
$v_5$	✗	✗	✗	✗	✓	
$v_6$	✓	✓	✓	✓	✓	Supp. <b>p</b>
$v_7$	✓	✓	✓	✓	✓	
$v_8$	✓	✓	✓	✓	✓	
$v_9$	✓	✓	✓	✓	✓	

Prop. **p** ✗ ✗ ✓ ✓ ✓

3 issues agree with IWM

# What Was Known

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say  $T = 2k + 1$

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Agree with IWM in $\geq$ issues	0	...	$k - 1$	$k$	$k + 1$	$k + 2$	$k + 3$	...	$2k + 1$
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# What Was Known

say  $T = 2k + 1$

Agree with IWM in $\geq$ issues	0	...	$k - 1$	$k$	$k + 1$	$k + 2$	$k + 3$	...	$2k + 1$
Always possible?		...						...	

# What Was Known

say  $T = 2k + 1$

Agree with IWM in $\geq$ issues	0	...	$k - 1$	$k$	$k + 1$	$k + 2$	$k + 3$	...	$2k + 1$
Always possible?		...				No	No	...	No

(by previous construction)

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Agree with IWM in $\geq$ issues	0	...	$k - 1$	$k$	$k + 1$	$k + 2$	$k + 3$	...	$2k + 1$
Always possible?	Yes	...	Yes	Yes		No	No	...	No

(consider proposal with  $k + 1$  ones, its opposite has  $k$  ones, one has more support)

(by previous construction)

# What Was Known

say  $T = 2k + 1$

Agree with IWM in $\geq$ issues	0	...	$k - 1$	$k$	$k + 1$	$k + 2$	$k + 3$	...	$2k + 1$
Always possible?	Yes	...	Yes	Yes	?	No	No	...	No

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Agree with IWM in $\geq$ issues	0	...	$k - 1$	$k$	$k + 1$	$k + 2$	$k + 3$	...	$2k + 1$
Always possible?	Yes	...	Yes	Yes	Yes	No	No	...	No

*[Fritsch and Wattenhofer, AAMAS'22]*

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Agree with IWM in $\geq$ issues	0	...	$k - 1$	$k$	$k + 1$	$k + 2$	$k + 3$	...	$2k + 1$
Always possible?	Yes	...	Yes	Yes	Yes	No	No	...	No

*[Fritsch and Wattenhofer, AAMAS'22]*

- *nonconstructive*

# What Was Known

say  $T = 2k + 1$

Agree with IWM in $\geq$ issues	0	...	$k - 1$	$k$	$k + 1$	$k + 2$	$k + 3$	...	$2k + 1$
Always possible?	Yes	...	Yes	Yes	Yes	No	No	...	No

*[Fritsch and Wattenhofer, AAMAS'22]*

- *nonconstructive (and a bit magic)*

# What Was Know

Agree with

0

...

k - 1

k

k +

LEMMA A.1. For  $l = 0, \dots, t$ ,

$$\sum_{k=\lceil t/2 \rceil}^t (2k - t) s_{k,l} = l \binom{t-1}{\lfloor t/2 \rfloor}.$$

PROOF. Let

$$f(l) = \sum_{k=\lceil t/2 \rceil}^t (2k - t) s_{k,l}.$$

Note that we use the convention that  $\binom{n}{k} = 0$  for  $k > n$  and  $k < 0$ . Hence, the upper summation bound in the formula for  $s_{k,l}$  from Lemma 4.4 can be omitted. Inserting this formula yields

$$\begin{aligned} f(l) &= \sum_{k=\lceil t/2 \rceil}^t \sum_{x=\lceil (k+l-\lfloor t/2 \rfloor)/2 \rceil}^{\infty} \binom{l}{x} \binom{t-l}{k-x} (2k-t) \\ &= \sum_{x=\lceil (l+1)/2 \rceil}^{\infty} \binom{l}{x} \sum_{k=\lceil t/2 \rceil}^{2x-l+\lfloor t/2 \rfloor} \binom{t-l}{k-x} (2k-t) \\ &= \sum_{x=\lceil (l+1)/2 \rceil}^{\infty} \binom{l}{x} \sum_{y=\lceil t/2 \rceil - x}^{t-l-\lceil t/2 \rceil + x} \binom{t-l}{y} (2y+2x-t). \end{aligned}$$

We swapped summations in the second step and substituted  $y = k - x$  in the third step. Note that

$$\binom{t-l}{y} (2y+2x-t) + \binom{t-l}{t-l-y} (2(t-l-y)+2x-t) = 2 \binom{t-l}{y} (2x-l).$$

Using this we further conclude

$$\begin{aligned} f(l) &= \sum_{x=\lceil (l+1)/2 \rceil}^{\infty} \binom{l}{x} \sum_{y=\lceil t/2 \rceil - x}^{x-l+\lfloor t/2 \rfloor} \binom{t-l}{y} (2x-l) \\ &= \sum_{y=\lceil t/2 \rceil - l}^{\lfloor t/2 \rfloor} \binom{t-l}{y} \sum_{x=\max(\lceil t/2 \rceil - y, y+l-\lfloor t/2 \rfloor)}^{\infty} \binom{l}{x} (2x-l). \end{aligned}$$

In the second step, we switched the summation again. Now let  $x_0 = \max(\lceil t/2 \rceil - y, y+l-\lfloor t/2 \rfloor)$ . Then

$$\begin{aligned} \sum_{x=x_0}^{\infty} \binom{l}{x} (2x-l) &= \sum_{x=x_0}^{\infty} x \binom{l}{x} - (l-x) \binom{l}{x} \\ &= \sum_{x=x_0}^{\infty} l \binom{l-1}{x-1} - l \binom{l-1}{x} = l \binom{l-1}{x_0-1}. \end{aligned}$$

Furthermore, the definition of  $x_0$  implies

$$\binom{l-1}{\lfloor t/2 \rfloor - y} = \binom{l-1}{y+l-\lceil t/2 \rceil} = \binom{l-1}{x_0-1}.$$

With the previous two properties, we establish

$$\begin{aligned} f(l) &= \sum_{y=\lceil t/2 \rceil - l}^{\lfloor t/2 \rfloor} \binom{t-l}{y} l \binom{l-1}{\lfloor t/2 \rfloor - y} \\ &= l \sum_{z=0}^{l-1} \binom{t-l}{\lfloor t/2 \rfloor - z} \binom{l-1}{z} = l \binom{t-1}{\lfloor t/2 \rfloor}. \end{aligned}$$

Here we substituted  $z = \lfloor t/2 \rfloor - y$ , and the last step follows from the well-known combinatorial identity  $\binom{n}{k} = \sum_j \binom{i}{j} \binom{n-i}{k-j}$ .  $\square$

Yes

Watte  
structiv



# What Was Known

say  $T = 2k + 1$

Agree with IWM in $\geq$ issues	0	...	$k - 1$	$k$	$k + 1$	$k + 2$	$k + 3$	...	$2k + 1$
Always possible?	Yes	...	Yes	Yes	Yes	No	No	...	No

*[Fritsch and Wattenhofer, AAMAS'22]*

- *nonconstructive*

# What Is New

say  $T = 2k + 1$

Agree with IWM in $\geq$ issues	0	...	$k - 1$	$k$	$k + 1$	$k + 2$	$k + 3$	...	$2k + 1$
Always possible?	Yes	...	Yes	Yes	<u>Yes</u>	No	No	...	No

***This paper***

- *probabilistic  $\rightarrow$  derandomization*

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say  $T = 2k + 1$

Agree with IWM in $\geq$ issues	0	...	$k - 1$	$k$	$k + 1$	$k + 2$	$k + 3$	...	$2k + 1$
Always possible?	Yes	...	Yes	Yes	<u>Yes</u>	No	No	...	No
Compute (or report "none")	Poly	...	Poly	Poly	Poly			...	

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Always possible?	Yes	...	Yes	Yes	<u>Yes</u>	No	No	...	No
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*This paper*

# What Is New

say  $T = 2k + 1$

Agree with IWM in $\geq$ issues	0	...	$k - 1$	$k$	$k + 1$	$k + 2$	$k + 3$	...	$2k + 1$
Always possible?	Yes	...	Yes	Yes	<u>Yes</u>	No	No	...	No
Compute (or report "none")	Poly	...	Poly	Poly	Poly	<u>NP-h</u>	Np-h	...	

*This paper*

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say  $T = 2k + 1$

Agree with IWM in $\geq$ issues	0	...	$k - 1$	$k$	$k + 1$	$k + 2$	$k + 3$	...	$2k + 1$
Always possible?	Yes	...	Yes	Yes	<u>Yes</u>	No	No	...	No
Compute (or report "none")	Poly	...	Poly	Poly	Poly	<u>NP-h</u>	Np-h	...	Poly

*This paper*

*Trivial*